

# UCAA PRIMARY FILING

## ARIZONA STATE SPECIFIC LIST FOR A NEW ARIZONA DOMESTIC

Please click on blue URLs in these instructions to access laws, rules information and forms for making applications

### GENERAL INFORMATION

**ALL new license applications are processed in accordance with Licensing Time Frames laws and rules.**

[A.R.S. Title 41](#), Chapter 6, Article 7.1 Licensing Time Frames laws

[Arizona Administrative Code](#) R20-6-708 Licensing Time Frames rules

**Applications by the following types of insurers must be modified or supplemented** to comply with specific requirements contained in Arizona Revised Statutes, Title 20, as referenced below.

<http://www.azleg.state.az.us/ars/20/title20.htm>

<b>Reciprocal Insurer</b>	<b>Chapter 4, Article 2</b>
<b>Lloyd's Association</b>	<b>Chapter 4, Article 8</b>
<b>Prepaid Legal Insurance Corporation</b>	<b>Chapter 4, Article 13</b>
<b>Mortgage Guaranty Insurer</b>	<b>Chapter 6, Article 8.1</b>
<b>Title Insurer</b>	<b>Chapter 6, Article 9</b>

**Name Availability and Reservation, Articles Stamping and Filing Procedures:**

Complete information is provided in the **Name Approval table found in Section IV of the UCAA Primary Application.** <http://www.naic.org/ucaa>

A **preadmission examination** will be conducted in accordance with A.R.S. § 20-156(B). Upon receipt of the application, the Department will determine what, if any, additional information and documentation will be necessary for the preadmission examination. Upon granting the license, a Report of Preadmission Examination will be filed.

Form E003I is available for general guidelines regarding **eligible securities for Trust Deposits** however, applicants should not attempt to deliver securities until instructed by the Department to do so. Additionally, the required deposit amounts are specified in the **Certificate of Deposit table found as Item 12 in Section IV of the UCAA Primary Application.** <http://www.naic.org/ucaa>

Be advised that the Department may utilize the services of financial analysts and/or examiners to assist in its review and a statement for services rendered may be forthcoming and is payable as these services are performed. In addition, admission fees and special deposits are retaliatory and will be billed upon application approval

### CLARIFICATIONS

**Items 1 and 2 below clarify the requirements prescribed in the Primary UCAA Section II, Items 12 and 13.**

1. The **Articles of Incorporation** must include:
  - a. A copy of the **Arizona Corporation Commission's Certificate of Disclosure** [A.R.S. §§ 10-201 and 20-706(A)].
  - b. A **duplicate original** of the **Statutory Agent appointment** [A.R.S. § 20-706(C)].
  - c. **Proof** that the **certified Articles were recorded** in the office of the County Recorder in each county in Arizona in which the Applicant proposes to transact business [A.R.S. § 20-706(A)].
  - d. **Affidavit of Publication** showing that the Articles were published at least six (6) times in a newspaper having a general circulation in the County in Arizona of the Applicant's principal place of business [A.R.S. § 20-706(B)].

2. Originally completed **NAIC Biographical Affidavits and Fingerprint Cards** are required in lieu of independent third party certified Business Character Reports and independent third party certified NAIC Biographical Affidavits.

**FINGERPRINT CARDS – IF FINGERPRINT CARDS AND FEES ARE REQUIRED, YOU MUST CALL THE FINANCIAL AFFAIRS DIVISION AT (602) 912-8420 TO REQUEST THE APPROPRIATE NUMBER OF CARDS NEEDED. COMPLETE INSTRUCTIONS WILL BE MAILED WITH THE CARDS. DO NOT USE FINGERPRINT CARDS FROM OTHER SOURCES, AS THEY WILL BE REJECTED.**